

**QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE FOR THE
QUARTER ENDED AS ON 30TH SEPTEMBER, 2012**

Name of the Company : **PEETI SECURITIES LIMITED**

Quarter Ended on : 30TH SEPTEMBER, 2012

| <i>Particulars</i> | <i>Clause of Listing Agreement</i> | <i>Compliance Status (Yes/No)</i> | <i>Remarks</i> |
|--|------------------------------------|-----------------------------------|--|
| I. Board of Directors | 49 (I) | | |
| (A) Composition of Board | 49 (I A) | YES | --- |
| (B) Non-executive Directors' compensation & disclosures | 49 (I B) | YES | --- |
| (C) Other provisions as to Board and Committees | 49 (I C) | YES | --- |
| (D) Code of Conduct | 49 (I D) | YES | Code of conduct has been approved by the Board of Directors and the Company is implementing the same |
| II. Audit Committee | | | |
| (A) Qualified & Independent Audit Committee | 49 (II A) | YES | --- |
| (B) Meeting of Audit Committee | 49 (II B) | YES | --- |
| (C) Powers of Audit Committee | 49 (II C) | YES | --- |
| (D) Role of Audit Committee | 49 (II D) | YES | --- |
| (E) Review of Information by Audit Committee | 49 (II E) | YES | --- |
| III. Subsidiary Companies | 49 (III) | NA | --- |
| IV. Disclosures | | | |
| (A) Basis of related party transactions | 49 (IV A) | YES | --- |
| (B) Board Disclosures | 49 (IV B & C) | YES | --- |
| (C) Proceeds from public issues, rights issues, preferential issues etc. | 49 (IV D) | N A | --- |
| (D) Remuneration of Directors | 49 (IV E) | YES | --- |

| Particulars | Clause of Listing Agreement | Compliance Status (Yes/No) | Remarks |
|---|------------------------------------|-----------------------------------|--|
| (E) Management | 49 (IV F) | YES | --- |
| (F) Shareholders | 49 (IV G) | YES | --- |
| V. CEO/CFO Certification | 49 (V) | YES | Has been disclosed in the Annual Report for the year 2011-12 |
| VI. Report on Corporate Governance | 49 (VI) | YES | Has been disclosed in the Annual Report for the year 2011-12 |
| VII. Compliance | 49 (VIII) | YES | --- |

Date: 10-10-2012

Place: Hyderabad